

**Notice of References Cited**

Application/Control No.

09/823,376

Applicant(s)/Patent Under

Reexamination

BUTCHER, GEORGE H.

Examiner

Jason M. Borlinghaus

Art Unit

3628

Page 1 of 4

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Canadian Market Benefits Less From CPI News, Financial Post. (June 15, 2000), p. 02
	V	Ainger, Will. XL Capital Preps Cat Bond Debut, Insurance Finance & Investment. v. 4, n. 11 (May 31, 1999), p. 01
	W	Livingston, M. Bonds & Bond Derivatives. Massachusetts, Blackwell Publishers, 1999. pp. 25 – 26
	X	Merriam-Webster's Collegiate Dictionary: 10th Edition. Massachusetts, Merriam-Webster, 1997, p. 455

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

**Notice of References Cited**

Application/Control No.

09/823,376

Applicant(s)/Patent Under

Reexamination

BUTCHER, GEORGE H.

Examiner

Jason M. Borlinghaus

Art Unit

3628

Page 2 of 4

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	O'Shaughnessy, Lynn. The Unofficial Guide to Investing. New York, Macmillan General Reference, 1999, p. 152
	V	Shinn, Paul. Bond Banks & Revolving Loan Funds, Government Finance Review. Chicago: June 1988, vol. 4, issue 3, pp. 36 – 37
	W	E. Scott Reckard Associated Press. Holders of County's Bonds Vote to Extend Maturities, The Fresno Bee. Fresno, California (July 8, 1995) p. 4
	X	Rowen, Hobart. Debt Relief Gets A Cautious Nod, The Washington Post. (October 8, 1987) p. A23

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

**Notice of References Cited**

Application/Control No.

09/823,376

Applicant(s)/Patent Under

Reexamination

BUTCHER, GEORGE H.

Examiner

Jason M. Borlinghaus

Art Unit

3628

Page 3 of 4

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Flaum, David. New Types of Bonds Hold Some Twists, Orange County Register. Santa Ana, Claifornia (October 3, 1988), pp. c1 & c12
	V	Downes, John and Goodman, Jordan Elliot. Barron's Finance and Investment Handbook. New York, Barron's Educational Series, 1987, p.215
	W	Bella, Rick. Sewer Rates Likely To Rise. The Oregonian. Portland, Oregon (July 17, 2000) p. E02
	X	Brigham, Eugene F., Gapenski, Louis C. & Daves, Phillip R. Intermediate Financial Management 6th Edition. Dryden Press, 1999. p. 392

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

**Notice of References Cited**

Application/Control No.

09/823,376

Applicant(s)/Patent Under

Reexamination

BUTCHER, GEORGE H.

Examiner

Jason M. Borlinghaus

Art Unit

3628

Page 4 of 4

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Pearson, Clare. Three-Year Dollar Bonds Find Favour, Financial Times. (April 17, 1986) p.38
	V	Anonymous. Leveraged Funding, Euromoney. (July 1986) p.MA14
	W	Lebenthal, James A. Municipal Bonds Need to Be Made a Part of President's Program to 'Rebuild' Nation, Bond Buyer. New York, NY. vol. 393, iss. 29090 (February 16, 1993) p.26
	X	McEvoy, Marty. Municipal Bonds Do Have Investment Risks, State Journal Register. Springfield, Illinois. (May 14, 1995) p. 48

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.